ANNEX 6: RULES AND CONDITIONS

1. Conditions

CONDITION ID	DESCRIPTION

C001	IF <Operation> = "C" OR "U"
	  THEN All attributes marked with this condition = 'R'
	  ELSE All attributes marked with this condition = 'O'

C002	IF corresponding free text field(s) is(are) used
	  THEN '_LNG' = 'R'
	  ELSE '_LNG' does not apply
	(The language of the address attributes is expressed by NAD_LNG)

C009	IF <Operator Type Code> of the Authorised Trader is "Authorised warehouse keeper" THEN <(USING) TAX WAREHOUSE> is 'R' ELSE <(USING) TAX WAREHOUSE> does not apply.

C044	IF <Request Type> is "Request for reference data"
	  THEN <Request Message Name> is 'R'
	  ELSE <Request Message Name> does not apply

C050	IF <Request Type> is in:
	 - "Request for extraction of reference data"
	 - "Request for retrieval of reference data"
	  THEN <REF_REQUEST> is 'R'
	  ELSE <REF_REQUEST> does not apply

C052	IF <Request Type> is "Request for retrieval of a list of e-ADs/e-SADs" or "Request for retrieval of list of e-ADs" or "Request for retrieval of list of e-SADs" THEN <E-AD/E-SAD LIST REQUEST> is 'R' ELSE <E-AD/E-SAD LIST REQUEST> does not apply

C053	IF <Request Type> is "Request for SEED statistics"
	  THEN <STA_REQUEST> is 'R'
	  ELSE <STA_REQUEST> does not apply

C054	IF <Request Type> is "Request for SEED statistics"
	  THEN <STA_PERIOD> is 'R'
	  ELSE <STA_PERIOD> does not apply

C108	If <Request Type> is in: - "Request for retrieval of a list of e-ADs/e-SADs" - "Request for SEED statistics" - "Request for extraction of reference data" - "Request for retrieval of reference data"

	- "Request for extraction of economic operators" - "Request for retrieval of economic operators" THEN <Request Correlation Identifier> is 'R' ELSE <Request Correlation Identifier> does not apply
C133	The three following data fields are optional and exclusive: - <Semester> - <Quarter> - <Month> i.e. If one of these data fields is given then the two other data fields do not apply.
C134	IF <Request Type> is in: - "Request for retrieval of reference data" - "Request for retrieval of economic operators" THEN <Start Date> is 'R' <End Date> is 'R' ELSE <Start Date> does not apply <End Date> does not apply
C135	IF <Request Type> is in: - "Request for extraction of reference data" - "Request for extraction of economic operators" THEN <Single Date> is 'R' ELSE <Single Date> does not apply
C136	IF <Message Type> is in: - "Retrieval of economic operators" - "Extraction of economic operators" THEN <Request Correlation Identifier> is 'R' ELSE <Request Correlation Identifier> does not apply
C137	IF <Message Type> is in: - "Retrieval of reference data" - "Extraction of reference data" THEN <Request Correlation Identifier> is 'R' ELSE <Request Correlation Identifier> does not apply
C139	At least one of the <EXCISE PRODUCTS CATEGORY code> or <EXCISE PRODUCT code> data groups must be present
C141	The total number of <TRADER AUTHORISATION>, <TAX WAREHOUSE>, and <TEMPORARY AUTHORISATION> datagroups that central SEED disseminates to a MSA should not exceed the upper limit set by the MSA.

	If the MSA has set the upper limit to null, then central SEED should not apply a limit on the number of datagroups.

C157	IF \<TEMPORARY AUTHORISATION.Small Wine Producer> is not present OR is present and is False
	THEN
	   \<Trader Excise Number> is 'R'
	ELSE
	   \<Trader Excise Number> is 'O'

C163	IF \<Message Type> is in:
	 - "Dissemination of updates of economic operators"
	 - "Retrieval of economic operators"
	 - "Extraction of economic operators"
	THEN
	   \<Modification Date and Time> is 'R'
	ELSE
	   \<Modification Date and Time> does not apply

C209	IF the \<TEMPORARY AUTHORISATION. Operator Type Code> is "Temporary Certified Consignee" THEN \<(LINKED TO TEMPORARY AUTHORISATION) TRADER> is Optional ELSE it is Required

<div align="center">Table .1: Conditions</div>

2. Rules

RULE ID	DESCRIPTION
BR044	BR ID: BR044 BR Category: SEED - Registration Data BR Description: It is obligatory that the delete operation must be related to an economic operator who is not involved in any movement or who is not involved in an open movement and that the activation date of the 'delete' operation 'must be at least five years after the end of the calendar year of the start of any movement (i.e. date and time of validation of the e-AD/e-SAD) the economic operator is involved in. Source FESS BPMs: L4-RADM-01-01-Maintenance of Registration Data FESS Validation Rule: • If a 'delete' operation is submitted, then this should be related to:

	- An economic operator who is not involved in any movement; OR
	- An economic operator not involved to any open movement and;
	- An economic operator involved in movements, but the activation date of the deletion is at least five years from the end of the calendar year in which the corresponding movement began (i.e. date and time of validation of the e-AD/e-SAD).
	IE:
	IE713 (incremental update or full register of economic operators)
	Data Item:
	ACTION.Operation
	Optionality: Mandatory
	Comments: N/A.
BR051	BR ID: BR051
	BR Category: SEED - Registration Data
	BR Description:
	It is obligatory that the trader excise number of the linked to temporary authorization temporary certified consignee, which is included in the draft temporary authorisation of the temporary certified consignor, has not been used by another authorization of a temporary certified consignor registered in SEED.
	Source FESS BPMs:
	L4-RADM-01-01-Maintenance of Registration Data
	FESS Validation Rule:
	• the temporary certified consignee, that is linked in the draft temporary authorisation of the temporary certified consignor, has not been used by another authorization of a temporary certified consignor registered in SEED.
	IE:
	IE713 (incremental update or full register of economic operators)
	Data Item:
	(LINKED TO TEMPORARY AUTHORISATION) TRADER.Trader Excise Number
	Optionality: Mandatory

	Comments: N/A.
R027	An existing identifier (Excise Number) <Trader Excise Number> in the set of <TRADER AUTHORISATION>
R028	The format of <Time To ...> or <Maximum Duration of ...> is expressed in days, months or years, with the format P999, where: - "P" is a letter in ("D" = Day, "M" = Month or "Y" = Year) - "999" is a three digits number
R032	The format of <Office Reference Number> is defined as per below: Field / Content / Field type / Examples 1 / Identifier of the MS to which the Office belongs / Alphabetic 2 / "IT" 2 / National number of the Customs Office/ Alphanumeric 6 (digits and capital letters) / "0830AB " Field 1 is taken from the list of <NATIONAL ADMINISTRATIONS> of the Member States. Field 2 has to be freely filled with a 6 character alphanumeric code. The 6 characters allow MSAs, where necessary, to define a hierarchy of customs offices (COL).
R040	The format of <Temporary Authorisation Reference > is defined as per below: Field / Content / Field type / Examples 1 / Identifier of the MS where the temporary authorisation reference is registered/ Alphabetic 2 / "PL" 2 / Nationally assigned, unique code / Alphanumeric 11 (digits and capital letters) / "0830AB " Field 1 is taken from the list of <NATIONAL ADMINISTRATIONS> of the Member States. The format of the temporary authorisation reference is the same as the format of the trader excise number.
R070	The <Trader Excise Number> must be unique in the list of <TRADER AUTHORISATION>. The <Tax Warehouse Reference> must be unique in the list of <TAX WAREHOUSE>". The format of <Trader Excise Number> or <Tax Warehouse Reference> is defined as per the below structure: Field / Content / Field type / Examples 1 / Identifier of the MS where the economic operator or tax warehouse is registered / Alphabetic 2 / "PL"

	2 / Nationally assigned, unique code / Alphanumeric 11 / "2005764CL78" Field 1 is taken from the list of <<NATIONAL ADMINISTRATIONS>>. Field 2 must be filled with a unique identifier for the Excise registered operator (authorised warehouse keeper, registered consignee, registered consignor, certified consignor and certified consignee) or for the tax warehouse. The way this value is assigned falls under the MSAs' responsibility, but each Excise registered operator (authorised warehouse keeper, registered consignee, registered consignor, certified consignor and certified consignee) and each tax warehouse must have a unique number.
R193	The value of <Request Correlation Identifier> is unique per Member State.
R199	The possible values of <Message Type> are: - 1 = Dissemination of reference data - 2 = Retrieval of reference data - 3 = Extraction of reference data
R204	The "Tax Warehouse Reference" shall be one of the <TAX WAREHOUSE. Tax Warehouse Reference> such that there exists at least one Active version having its Validity Interval intersecting the Validity Interval of the <TRADER AUTHORISATION>, after the Activation Date of the latter, by at least one day
R205	The "Tax Warehouse Reference" shall be the same as one of the <(USING) TAX WAREHOUSE.Tax Warehouse Reference> within one or more <TRADER AUTHORISATION> data groups of "Authorised Warehouse Keeper" type satisfying also Rule204
R206	The value of the <Operator Type Code> data item cannot be changed after the creation of the TRADER AUTHORISATION
R207	The <Excise Products Category Code> of the <Excise Product Code> must not exist inside the same <TRADER AUTHORISATION> or <TAX WAREHOUSE>
R208	The <Excise Products Category Code> must be unique in the list of <EXCISE PRODUCTS CATEGORY Code> inside the same <TRADER AUTHORISATION> or <TAX WAREHOUSE>
R209	The <Excise Product Code> must be unique in the list of <EXCISE PRODUCT Code> inside the same <TRADER AUTHORISATION>, <TAX WAREHOUSE>, or <TEMPORARY AUTHORISATION>
R212	IF <TEMPORARY AUTHORISATION.Small Wine Producer> is present and is True THEN the <Excise Product Code> must be either 'W200' or 'W300'
R214	An existing identifier <Office Reference Number> in the set of <OFFICE>. The Office must belong to the same MSA to which the entity (economic operator, tax warehouse or temporary authorisation) is registered
R230	The Activation Date must be equal to or greater than the Modification Date.

R232	The value of the Data Item must be greater than zero.
R233	An existing identifier <Trader Excise Number> in the set of <TRADER AUTHORISATION>
	The <Operator Type Code> of the referred <TRADER> must be:
	- "Authorised warehouse keeper" OR "Registered consignor" for <Temporary Registered Consignee> authorisations OR;
	- "Certified Consignor" for <Temporary Certified Consignee> authorisations OR;
	- "Certified Consignee" for <Temporary Certified Consignor> authorisations.
	In addition, the Member State of the <TRADER AUTHORISATION> must be different from the Member State the <TEMPORARY AUTHORISATION> is registered for.
	OR
	An existing identifier <Trader Excise Number> in the set of <TEMPORARY AUTHORISATION>
	The <Operator Type Code> of the referred <TRADER> must be:
	- "Temporary Certified Consignor" for <Temporary Certified Consignee> authorisations OR;
	- "Temporary Certified Consignee" for <Temporary Certified Consignor> authorisations.
	In addition, the Member State of the linked <TEMPORARY AUTHORISATION> must be different from the Member State the <TEMPORARY AUTHORISATION> is registered for.
R236	An existing identifier <Office Reference Number> in the Customs Office List (COL)
R248	The format of <Maximum Journey Time> is expressed in hours or in days, with the format P99, where:
	- "P" is a letter in ("H" = Hours or "D" = Day)
	- "99" is a two digits number
	If P is "H" then the number is less or equal to 24
	if P is "D" then the number is less or equal to the possible values of <Maximum Journey Time> per <Transport Mode Code> as described in the following table:

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Transport ModeCode          Maximum Journey Time
0 - Other                   D45
1 - Sea Transport           D45
2 - Rail transport           D35
3 - Road transport           D35
4 - Air transport            D20
5 - Postal consignment       D30
7 - Fixed transport installations   D15
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	8 - Inland waterway transport D35 Note 1: The value "Other" refers to multimode transport (where there is unload and reload of cargo) and covers the cases of Group Consignments, Export, Split and Change of destination. Note 2: In case of export, the journey time is the estimated duration of the journey up to the exit of the customs territory of the Union.
R250	If <Primary Criterion Type Code> is '46' (Type of transport), then an existing <Transport Mode Code> in the list of <TRANSPORT MODES> shall be used
R264	The Excise Product Code 'S600' is only applicable for the 'Certified Consignor' and 'Certified Consignee' authorisation types.
R265	The possible values of <Operator Role Code> are: - 1 = Allowed to practise direct delivery - 2 = Allowed to leave empty the destination fields according to Article 22 of Directive 2020/262 The couplings <Operator Type / Operator Role Code> are as follows: - 1 = Allowed to practise direct delivery--> Applicable for 'Authorised Warehouse Keeper' and 'Registered Consignee' - 2 = Allowed to leave empty the destination fields according to Article 22 of Directive 2020/262 --> Applicable for 'Authorised Warehouse Keeper' and 'Registered Consignor'
R266	The format of <Global Excise Trader Identifier> is defined as per the below structure: Field / Content / Field type / Examples 1 / Identifier of the MS where the economic operator or tax warehouse is registered / Alphabetic 2 / "PL" 2 / Nationally assigned, unique code / Alphanumeric 20 / "2005764CL78232ERW123" Field 1 is taken from the list of <NATIONAL ADMINISTRATIONS> Field 2 must be filled with a unique identifier that will allow linking of the different authorisation types (authorised warehouse keeper, registered consignee, registered consignor, certified consignor, and certified consignee) of a single Excise economic operator.
R267	For 'delete' operations of 'Tax Warehouse' records, the deleted 'tax warehouse' must not be linked to an 'Authorised Warehouse Keeper'.
R268	For 'delete' operations of 'Authorised Warehouse Keeper' records, the deleted 'Authorised Warehouse Keeper' must not be the sole keeper of a tax warehouse, i.e. the deletion of the 'Authorised Warehouse Keeper' must not result to an 'orphan' tax warehouse.
R269	For 'delete' operations of 'Registered Consignors' or 'Authorised Warehouse Keepers', there should be no 'Temporary Registered

	Consignees' associated to the deleted economic operators. Similarly, for 'delete' operations of 'Certified Consignors' or 'Certified Consignees', there should be no 'Temporary Certified Consignees' or 'Temporary Certified Consignors' respectively associated to the deleted economic operators.
R270	For authorisations of United Kingdom (Northern Ireland) (i.e. as derived from the structure of the 'Trader Excise Number>, <Reference of Tax Warehouse> or <Temporary Authorisation reference>), the applicable postcode must start with 'BT'

Table .2: Rules